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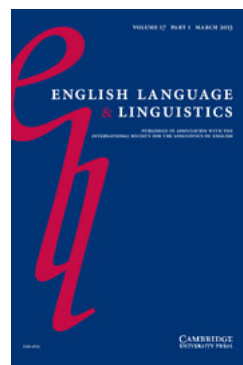
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## Labiodental fronting of /θ/ in London and Edinburgh: a cross-dialectal study<sup>1</sup>

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This study examines the lexical and grammatical diffusion of TH-fronting amongst adolescents in London, where TH-fronting is well established, and Edinburgh, where it is a relatively new phenomenon. Our results reveal that the application of TH-fronting is constrained in Edinburgh in ways that are not relevant for London, and vice versa. Specifically, whereas TH-fronting is sensitive to phonotactic context and prosodic position in Edinburgh, we observe no such effects amongst the London speakers. Morphological complexity, on the other hand, is a significant predictor of TH-fronting in both regions; however, we also find evidence of significant gender differences in the use of fronting in London that do not emerge in our Edinburgh data. We argue that these results attest to the more established nature of TH-fronting in London as compared to Edinburgh. We also address the question of how speech perception influences the emergence and spread of innovative neutralisation phenomena like TH-fronting. The results of this study further highlight the usefulness of a comparative variationist approach to understanding patterns of dialectal variation and change.

### 1 Introduction

This study investigates variation in the realisation of /θ/ amongst adolescents in London and Edinburgh. Previous work on TH-fronting<sup>2</sup> has typically taken the approach of examining patterns of variation that are specific to one particular dialect or dialect group. This study responds to calls for comparative analyses of regional varieties of English and an inclusion of the concept of space into variationist studies (Britain 2004; Maguire *et al.* 2010; McMahon *et al.* 2007). Additionally, rather than focusing on token frequencies or measuring the distance between varieties based on a selection of features, we contribute to comparative analyses of English dialects by making variation itself the focus of statistical analysis and by comparing constraint hierarchies affecting variation (Tagliamonte 2002).<sup>3</sup>

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<sup>2</sup> We follow Stuart-Smith *et al.* (2007) and reserve the term TH-fronting for the fronting of /θ/ to [f] and the term DH-fronting for the fronting of /ð/ to [v]. The term TH-fronting is sometimes used as a cover term for both these processes (e.g. Wells 1982), which we try to avoid.

<sup>3</sup> Our use of the terms *constraint* and *constraint hierarchy* is not to be confused with violable constraints in the optimality-theoretic sense (see Prince & Smolensky 2004 [1993]). We employ these terms throughout this article to refer to hierarchically ordered groups of factors which contribute in some significant way to the occurrence of TH-fronting in our corpus.

A comparative study of TH-fronting in London and Edinburgh is particularly illuminating since it provides insights into a sound change in progress at two different points in its implementation. We examine the progressive lexical and grammatical diffusion of TH-fronting amongst adolescents in London, where TH-fronting is well established, and Edinburgh, where it is a relatively new phenomenon. This allows us to assess what happens when TH-fronting diffuses into and within a community and observe the process of adaptation in Edinburgh. In so doing, we hope to be able to shed light on two related questions:

1. What are the sociolinguistic constraints on TH-fronting in London as opposed to Edinburgh?
2. How does the age of the sound change influence the use of TH-fronting synchronically?

We begin by reviewing a selection of the existing literature on TH-fronting with three main goals in mind: firstly, to outline what is already known about the development of the phenomenon in the UK; secondly, to summarise the main theoretical claims that aim to explain why TH-fronting happens; and thirdly, to identify factors that constrain the realisation of /θ/.

As Wells (1982: 327–30) first observes, many speakers of English display variation in the phonetic realisation of the dental fricatives, /θ/ and /ð/. Alongside the standard pronunciations – i.e. [θ, ð] – these sounds may be targets for a variety of phonological processes: these include (i) plosivisation (e.g. [tʰɜːzdeɪ] *Thursday*, [fɜːdə] *further*);<sup>4</sup> (ii) glottalling or elision (e.g. [ʔɪs], [ɪs] *this*); and (iii) fronting (e.g. [fɪŋk] *think*, [fɛvə] *feather*). Although these variant realisations attest to the relative instability of /θ, ð/ in English, previous studies have in fact identified a number of factors which appear to constrain TH, DH-variability in theoretically interesting ways.

Wells notes, firstly, that TH, DH-stopping and fronting are features traditionally associated with Cockney English. Indeed, Sivertsen (1960: 105, 123–4) documents the occurrence of stopping and fronting amongst Cockney speakers born before 1900. Whereas plosive realisations of /θ/ are attested only sporadically now, recent studies report that TH-fronting and DH-fronting dominate in the idiolects of many Cockney speakers today. More recent research also confirms that TH, DH-fronting now regularly occurs in many other areas of London and southern England, especially in youth speech. For example, recordings taken by Cheshire *et al.* (2008) of speakers from different age groups and different ethnic heritage groups in the economically deprived community of Hackney reveal that word-initial TH-fronting occurs with very high frequency (>85%) amongst younger speakers; however, older speakers (aged 70–80) display significantly lower amounts of word-initial fronting (<30%). The authors also note that DH-stopping is very common nowadays in Hackney for word-initial /ð/. Tollfree (1999) reports the results of a study of South East London English: here, there are no significant differences between older and younger speakers in terms of the rate of

<sup>4</sup> Transcriptions are our own broad approximations based on examples given orthographically in Wells (1982).

application of TH,DH-fronting; and generally, this phenomenon occurs less frequently in South East London English than in Cockney. Interestingly, however, Tollfree also mentions that /θ/ may undergo *debuccalisation* (e.g. [haŋ<sup>3</sup>ks] *thanks*) in addition to fronting; and [ʔ]-realisations of /θ/ are attested word-internally in pronunciations of the word *something*.

Limiting our review to TH-fronting now, it is often assumed that the change has spread from south-eastern England to other areas of the UK: yet numerous sociolinguistic studies report the use of fronting even in areas where there are only minimal levels of direct dialect contact with London. Britain (2005: §3 and references therein) summarises the findings of a variety of studies on dialectal variation in England, commenting that TH-fronting has been documented for Colchester, Reading, Milton Keynes, Norwich, the Fens, Derby, Birmingham, Hull, Sheffield, Middlesbrough and Newcastle. Many of these studies show consistencies in their findings: in particular, TH-fronting seems to be favoured by working-class adolescents (Kerswill 2003; J. Milroy 1996; Stuart-Smith & Timmins 2006; Stuart-Smith *et al.* 2007) and especially by males (J. Milroy 1996; Robinson 2005). However, whilst the exact details about the origin of TH-fronting are contested, this issue will concern us only minimally here:<sup>5</sup> we instead focus on the sociolinguistic diffusion of the established change, which most scholars would agree started in London before it emerged in Edinburgh.

Sociolinguistic work on Scotland reveals that TH-fronting is now a notable, but relatively new, feature of many Scottish dialects. The first reported evidence comes from Macafee (1983: 54). In a study on Glaswegian, Stuart-Smith & Timmins (2006) found that [f]-realisations of /θ/ occur with significantly greater frequency in a corpus of recordings taken in 2003 than in an older corpus from 1997. This indicates that the use of TH-fronting is on the increase, particularly for younger speakers. In more specific terms, Stuart-Smith & Timmins observe that TH-fronting arises most frequently in word-final position, whereas alternative pronunciations – including canonical [θ] and an [h]-realisation that is particular to Scottish English – dominate in non-word-final positions. The spread of TH-fronting has also been observed in Livingston, a New Town approximately 15 miles west of Edinburgh (Robinson 2005).

Furthermore, Clark & Trousdale (2009) examined the speech of pipe-band members from West Fife for evidence of TH-fronting: the principal finding of this research is that the use of [f]-realisations is significantly affected by both social and phonological factors. With regard to the phonological factors, the asymmetries in the use of TH-fronting echo the findings of Stuart-Smith & Timmins: fronting occurs more frequently in syllable-final contexts than in syllable-initial contexts (cf. the word-final ~ non-word-final distinction in Glasgow); and words containing the *think* and *thing* lexemes display

<sup>5</sup> Stuart-Smith *et al.* (2007: 224f.) summarise some of the arguments that have been put forward regarding the potential diffusion of TH-fronting. These range from Trudgill's (1986) proposal of a contact-induced change to the view that TH-fronting is part of a set of youth norms originating in the south-east, which exists in ideological rather than physical space (Williams & Kerswill 1999; Foulkes & Docherty 2001; Milroy & Gordon 2003). Stuart-Smith *et al.* (2007: 224f.) also point out that an external dialect source is not essential for the geographical spread of TH-fronting as it is common in very young children's speech.

a strong tendency for <th> to be realised as [h] rather than [f] or [θ]. Corpus word-frequency also plays a significant role: words which only vary between [f] and [θ] and which are attested more frequently in the recordings from this set of speakers show higher rates of fronting than low-frequency items.

Whereas the general focus of many of the existing sociolinguistic studies has been on accounting for varying patterns of synchronic usage of TH-fronting and of its geographical spread, Blevins (2004: 134–5) considers the question of the historical origin of the fronting phenomenon in English. Here, she argues that TH-fronting bears all the hallmarks of a phonetically grounded sound change that has arisen diachronically from misperception effects. Small differences in formant transitions preceding and following realisations of [θ] and [f] are of crucial importance for accurately discriminating between the two fricatives. Thus, under hearing conditions when attending to these transitional cues is difficult, listeners are likely to fail to perceive the [θ]~[f] contrast accurately (this phenomenon is also documented in the language acquisition process of English by children; see Vihman 1996).

In keeping with the studies mentioned above, this article represents a contribution to the discussion of the diachronic emergence and the synchronic variability of the TH-fronting process. It has two main foci: firstly, that of interregional variation, and secondly, that of the influence of the age, development and established nature of a sound change on patterns of synchronic variation. Whilst studies on varieties of English have uncovered a relatively stable set of social and linguistic factors which constrain the use of TH-fronting cross-dialectally, the relative influences of these factors may differ from place to place. That is to say, a sound change may diffuse to a location and be incorporated into the local system, but this process may also result in a restructuring of its original conditioning factors. In view of this, it is not clear whether TH-fronting, in fact, constitutes the exact same change in London as in Edinburgh. Thus, our data provide insights into a sound change in progress, not only in two locations but also at two different stages in its diachronic evolution.

The mechanisms which cause innovative phonological features to spread from one speech community to another are not a point of focus in this article. Instead, we concentrate on the issue of how innovative phonological phenomena like TH-fronting undergo progressive lexical and grammatical diffusion once they have been introduced into a speech community. Our results reveal that the application of TH-fronting is constrained in Edinburgh in ways that are not relevant for London, and vice versa. Specifically, whereas TH-fronting is sensitive to phonotactic context and prosodic position in Edinburgh, we observe no such effects amongst the London speakers. Morphological complexity, on the other hand, is a significant predictor of TH-fronting in both regions; however, we also find evidence of significant gender differences in the use of TH-fronting in London that do not emerge in our Edinburgh data. This, we claim, is a predictable result in view of the fact that TH-fronting is a more established dialect feature in London than in Edinburgh: the availability of TH-fronting for gendering practice is less pronounced in Edinburgh as it is a relatively new change there. These

findings have important implications for understanding processes of on-going sound change in Britain that have not been recognised previously in research on TH-fronting. The results of this study therefore further highlight the need for sociolinguistic research to take a comparative approach to analysing patterns of dialectal variation and change.

The article is organised as follows. Details about the data collection and data coding processes and the statistical analysis techniques employed in this study are given in section 2. Section 3 then presents the results of the analysis for London (section 3.1) and Edinburgh (section 3.2). Section 4 is devoted to discussing the implications of the results for sociolinguistic theories of phonological change. Section 5 concludes.

## 2 Methods

### 2.1 Data collection

Recordings were conducted in two high schools, one in Edinburgh and one in London, as part of a larger project that also included non-native students (data from these informants are, however, not considered here). The Edinburgh data were collected at a school in west Edinburgh and the London data were collected in Ealing (in the west of London). Both schools are comparable with regard to the socioeconomic status of their pupils.

The Edinburgh sample consists of 21 Edinburgh-born teenagers (8 males, 13 females) and the London sample consists of 24 London-born teenagers (12 males, 12 females). At the time of recording, the informants were all aged between 12 and 18, and were of roughly the same class background.<sup>6</sup>

Our data come from two sources. Firstly, sociolinguistic interviews were conducted by a locally born female research assistant in both locations: students were recorded speaking in friendship pairs in order to facilitate the collection of conversational data under the most casual atmosphere possible (L. Milroy & Gordon 2003: 66; Schlee & Meyerhoff 2010: 3–5). All interviews were structured around certain topics; however, the conversation was not constrained by these topics and participants were encouraged to talk freely on other topics too. Speakers were also recorded performing a short reading task of 17 sentences that had been designed to elicit a wide range of different sociolinguistic variables of which (th) is one. All data were transcribed using ELAN, resulting in an integrated, time-aligned corpus. Combining reading and conversation data provides a measure for comparing differences in speech style, in the Labovian sense of attention paid to speech (Labov 1972). Thus, whilst /θ/ does not occur very frequently in comparison to other fricatives in English, our recordings do provide a sufficient number of tokens for statistical analysis.

<sup>6</sup> The socioeconomic status of these adolescents is best described as upper-working to lower-middle class. Our attempt to assign each informant to a social class, based on their parents' occupation, proved impossible. The large majority of teenagers come from a mixed-class background, which is a reflection of the particular areas in London and Edinburgh where these data were collected.

## 2.2 *Data coding procedure*

All tokens of /θ/ that occur in the corpus were coded according to their phonetic realisation by two research assistants. Following the first round of coding, a second round of coding checks was carried out. Cases of coding disagreement were discussed with another phonetically trained researcher and mutually agreed.

Fronted and non-fronted variants of /θ/ can be discriminated auditorily under laboratory listening conditions: this therefore meant that data could be impressionistically categorised without the need for acoustic analysis. Tokens that could not be reliably categorised were excluded from the analysis (e.g. in cases where speakers talk over one another). The main realisations of /θ/ that we observe in the corpus are [θ], [f] and [h]: however, as detailed in sections 3.1–3.2 below, other minority realisations also occur (e.g. elisions and [ʔ]-realisations) which were also coded for. However, as already alluded to, the focus in this study is given to the fronting pattern – i.e. the variable use of [f] and [θ] in both regional groups. The analysis presented in section 3 is therefore based exclusively on the results of statistical tests run on this subset of data.

## 2.3 *Statistical testing*

We take a comparative variationist approach (Poplack 2000; Poplack & Tagliamonte 1991; Tagliamonte 2002) to analysing our data. For the purpose of testing the use of TH-fronting in the two communities statistically, data were subjected to multivariate logistic regression analyses using Rbrul (Johnson 2011, version 2.02) in which (th) (coded as a binomial factor: [θ]~[f]) was the dependent variable and [f] functioned as the application value. Rbrul is a variable rule program similar to Goldvarb (D. Sankoff *et al.* 2005); yet whereas Goldvarb is limited to running fixed-effects models, Rbrul is capable of running mixed-effects models in which categorical and interval variables can be combined. Furthermore, Rbrul can also cope with random factors. This permits variables which code typically non-replicable variation (e.g. individual speaker variation) to be included in Rbrul models.

The comparative approach makes variation itself the focus of statistical comparisons by subjecting data from different groups of speakers (here adolescents from London vs Edinburgh) to the same multivariate analysis using the same set of predictors. Rbrul creates models for these groups that best explain the respective input variation. It outputs statistically significant factors, which allows us to compare the variability of /θ/ in the two locales. This approach has been used in a variety of previous studies which examine instances of phonological variation in situations of language contact (Meyerhoff 2009; G. Sankoff 1993) and dialect contact (Buchstaller & D’Arcy 2009). Furthermore, this method has also been applied in testing historical relatedness of different varieties, as well as comparing varieties that differ geographically, by age and similar social factors (Tagliamonte 2002).



Table 1. *Independent variables used for modelling TH-fronting in Rbrul*

Categorical variables	Factor levels
Speaker Sex	male ~ female
Style	conversation ~ reading
Grammatical Category	nominal ~ verb ~ numeral ~ functional item
Morphological Complexity	monomorphemic ~ polymorphemic
Word Finality	final ~ non-final
Prosodic Position	onset ~ coda
Stressed Syllable	stressed ~ unstressed
Preceding Phonological Context	phrase boundary ~ obstruent ~ sonorant ~ vowel
Following Phonological Context	phrase boundary ~ obstruent ~ sonorant ~ vowel
Interval variables	Range
Syllable Count	1 to 5
Word Frequency (BNC, log <sub>10</sub> transformed)	0 to 3.648 (equating to raw values 0 to 3,977)
Random effect	Data subset
Speaker	<i>data from 17 speakers included for London</i> <i>data from 18 speakers included for Edinburgh</i>

In order to address questions about the interregional variation in the use of TH-fronting, separate statistical models for London and Edinburgh were calculated. All available data from speakers who use TH-fronting to some extent in their idiolect were included in these models; data from non-users of the fronting pattern were excluded from the analysis in order not to inflate the significance of [θ]-usage in either location (see sections 3.1–3.2 for details). The independent variables used to model the variability of the TH-fronting process are listed in table 1.

The use of the independent factors listed in table 1 allows for the influence of social, grammatical and contextual factors on the application of TH-fronting to be tested statistically. Firstly, Speaker was included as a random factor: thus, in calculating which factor grouping best predicts the variable use of [θ] and [f], the model takes into consideration any potential biases that may arise because of idiosyncratic variation.<sup>7</sup> In addition to this, inclusion of the Speaker Sex factor permits the differences between male and female speakers to be tested; and likewise, Style was included as a fixed factor in order to provide an indication of any differences that may emerge in the use of TH-fronting under the two speech conditions. We also consider a number of

<sup>7</sup> This may be important in our study because a small subset of our Ealing-based informants have an ethnic heritage other than white British. Since these speakers are in the minority and do not sound noticeably ‘different’ from the other speakers, we do not believe that this produces a significant influence on the data. This notwithstanding, the use of Speaker as a random factor in the Rbrul models we present here means that individual-speaker variation is controlled for statistically.

linguistic variables, the choice of which is guided by previous work on TH-fronting as well as the specific research questions outlined in section 1. For example, the inclusion of Grammatical Category in the model allows us to examine the effect of lexical vs functional items; and furthermore, subcategorisation of the lexical vocabulary permits the rates of application of TH-fronting in nominals, verbs and numerals to be examined. Given the finding that morphological compositionality may play a role in the application of /θ/-fronting,<sup>8</sup> Morphological Complexity is included as a factor in the model. The Word Finality factor also permits any asymmetries between grammatical word-final /θ/ and non-word-final /θ/ (Stuart-Smith & Timmins 2006) to be tested.

In addition, a number of phonological variables were included which allow for further hypotheses to be tested. Under the assumption that neutralisation processes often preferentially target coda segments (see Yu 2011; Clark & Trousdale 2009: §4.3ff.; Kiparsky 2008: §4ff.), Prosodic Position tests the prediction that syllable-final /θ/ may be more prone to fronting than syllable-initial /θ/. Likewise, the well-known resistance of segments to undergo reduction under the influence of primary stress could potentially affect the application of /θ/-fronting: we therefore include the Stressed Syllable factor for the purpose of addressing this question, and a further interval variable, Syllable Count, which tests the hypothesis that words containing more or fewer syllables may display different rates of application of TH-fronting. Finally, inclusion of Preceding Phonological Context and Following Phonological Context in the model also allows us to examine to what extent TH-fronting is affected by phonotactic contextual factors.

Based on the results of previous research (e.g. Bybee 2007) showing that the use of innovative phonological processes may be affected by word frequency, we also include a Word Frequency factor in our model. Frequency counts are based on the spoken frequency rankings in the *British National Corpus* (BNC) which lists frequencies per million words (Leech 2001). Words that are not listed in the BNC were assigned a value of zero. The value of 1 was then added to all raw frequency indexes so that words of zero-frequency – i.e. those that do not occur in the BNC – could be included in the statistical models. These values were then log<sub>10</sub> transformed to minimise the effect of the left-tail skew in the overall distribution (see Hay & Baayen 2002; Clark & Trousdale 2009).

### 3 Results

First and foremost, analysis of the data reveals clear asymmetries between the London and Edinburgh speakers; and furthermore, interesting differences between female and male speakers are also observed. In view of these findings, we first consider the patterns of variation affecting /θ/ in London in section 3.1; section 3.2 then presents the data from the Edinburgh speakers.<sup>9</sup>

<sup>8</sup> Stuart-Smith & Timmins (2006) present data which indicate that numerals taking the /-θ/ ordinal suffix pattern differently from other words where word-final /θ/ has no morphological exponential function. (However, our data show a different effect from that reported by Stuart-Smith & Timmins – see section 4.)

<sup>9</sup> Hereafter, recall that *London* and *Edinburgh* refer to the varieties of English spoken by the particular groups of adolescents in west London and west Edinburgh investigated in this study.

Table 2. *Realisation of /θ/ for the London speakers*

/θ/ realisation	Conversation		Reading		Total	
	N	%	N	%	N	%
[θ]	146	53.7	26	54.2	174	54
[f]	98	36	22	45.8	120	37.3
[Ø]	13	4.8	—	—	13	4
[ʔ]	9	3.3	—	—	9	2.8
[ð]	4	1.5	—	—	4	1.2
[h]	2	0.7	—	—	2	0.6
Total	272		48		322	

### 3.1 The variability of /θ/ in London

Table 2 summarises the range of realisations for /θ/ from the London speakers in the corpus. From these data, we may firstly observe that approximately half of all instances of /θ/ have a non-standard realisation for the London speakers. As expected, the conversational recordings yield a greater range of non-standard forms than the read speech: in particular, we observe instances of /θ/-elision, glottalling, voicing and debuccalisation in the conversations that do not occur in the reading passage. Nevertheless, these processes appear to be highly restricted: [ʔ]-realisations are confined to the word *something* (i.e. [sʌmʔɪŋ] or [sʌmʔɪn] – see Tollfree 1999), and the two [h]-realisations are single tokens of *think* and *thing* (produced by different speakers) that occur in a phrase-medial post-consonantal context. [ð]-realisations of /θ/ occur only in intervocalic position (e.g. *I think* [aɪðɪŋk]) or following a nasal (e.g. *than thirty* [ðʌnðɜːtɪ]).<sup>10</sup> Moreover, elisions occur in consonant cluster contexts which may either be word-internal (e.g. *maths* [mas], *months* [mʌns]) or phrasal (e.g. *hardest thing* [hɑːdɪsɪŋ]). Although these realisations provide evidence for the relative instability of /θ/ in London English, low token count prevents any meaningful statistical tests from being carried out.

One particularly striking finding is the fact that, in contrast to the other non-standard realisations, TH-fronting is not suppressed in reading style: in fact, it is more common in our read-speech data than our conversational data, in both London and Edinburgh. On the one hand, this might be viewed as an unexpected finding for a variable that, in Labov's (1971) terminology, ought to be considered a stereotype rather than a marker or an indicator. On the other hand, this finding does concur with other studies on TH-fronting that investigate stylistic differences. Robinson (2005: 189), for example, finds TH-fronting to be much more common in word-list than conversational style; and

<sup>10</sup> These tokens have been visually inspected using acoustic analysis software. All /θ/-realisations coded as [ð] in our corpus display the expected acoustic correlates to periodic voicing.

Stuart-Smith *et al.* (2007: 236) find no difference between conversational and word-list style. Whilst the stylistic differences in the current study are not statistically significant, it is nonetheless an interesting finding. We do not share Stuart-Smith *et al.*'s (2007) assessment that teenagers treated the reading task as an opportunity to display cases of 'their' speech, as we find the expected style differences in all other variables we have so far investigated, i.e. (ing) and (t) (see Schleef *et al.* 2011; Schleef *in press*). Thus, speakers seem to be very much unaware of their use of TH-fronting: that is to say, whilst they block out other non-standard realisations, [f] does not seem to be monitored in the same way. Anecdotal evidence also suggests that some TH-fronters are unable to hear the difference between [θ] and [f], which may also contribute to speakers' inability to consciously monitor TH-fronting in reading. We are, in any case, left here with a variant that seems to be considered a stereotype in the wider community but behaves like an indicator stylistically.

### 3.1.1 Constraints on TH-fronting in London

We now turn to the analysis of TH-fronting in London. Of the 24 Londoners who participated in the recordings, 9 never exhibit TH-fronting. The implication of this finding is discussed in section 3.3 where we note that there are important gender differences in the use or non-use of TH-fronting. Before addressing this issue, however, it is instructive first to consider which factors influence the application of TH-fronting for those speakers who have the pattern in their idiolect. With this in mind, consider table 3: this presents the results of the Rbrul model calculated on the data from the 17 London speakers who use fronting.<sup>11</sup>

Table 3. *Significant predictors for the fronting of /θ/ in London with [f] as application value*

Significant predictors	Weight	<i>N</i>	Proportion of [f]-realisations
Morphological Complexity:			
polymorphemic	0.585	85	0.659
monomorphemic	0.415	130	0.508
Deviance = 270.08, <i>df</i> = 3			
Standard deviation for <i>SPEAKER</i> = 1.001			
Not significant:	Speaker sex, style, grammatical category, word finality, prosodic position, syllable stress, phonological context, syllable count, word frequency		

<sup>11</sup> Table 3 shows Rbrul weights (Johnson 2009) for each factor with [f] as the *application value*. A high weight value indicates that a particular factor favours [f]). The total number of tokens and *proportion values* are also listed. The latter indicates the percentage of the total number of tokens that are realised as [f] (e.g. the proportion value of 0.659 in table 3 means that 65.9 per cent of all polymorphemic word tokens have an [f]-realisation).

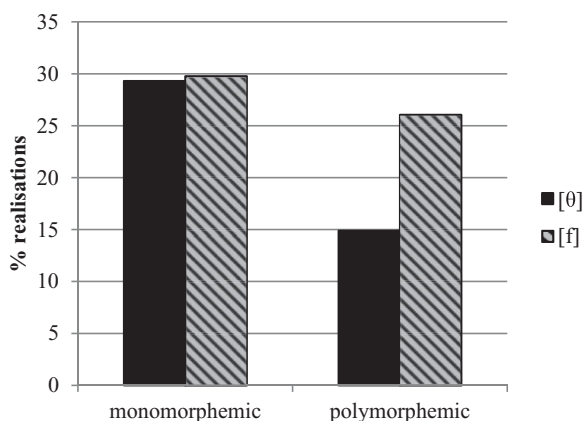


Figure 1. The effect of morphological complexity on the realisation of /θ/ in the London data

Observe here that only one predictor achieves statistical significance: Morphological Complexity is the only constraint that significantly affects variability of (th) in London. As indicated, TH-fronting applies with greater frequency in morphologically complex words (e.g. *thinks*, *anything*, *fourth*) than morphologically simple words (e.g. *think*, *south*): this is particularly interesting since no previous studies have reported a similar morphological effect on the use of fronting.

Figure 1 illustrates the proportion of [θ]-realisations and [f]-realisations according to the morphological complexity of the words in our London data. As shown, the monomorphemic words, which account for approximately 60 per cent of tokens, display almost equal rates of application of TH-fronting. However, the polymorphemic words pattern asymmetrically: rather than showing a 50:50 split between fronted and non-fronted variants, morphologically complex words display a statistically robust tendency to favour fronting. A breakdown of these data is shown in table 4.

A number of observations can be made from close inspection of these lists. Firstly, with regard to the polymorphemic items, notice that there are numerous words that never occur with a canonical [θ]: these include words from different grammatical categories (e.g. nominals, verbs, numerals etc.) and words in which /θ/ occurs in different phonological contexts (e.g. word-initial onset position, word-final preconsonantal coda position etc.). Indeed, this finding is reflected in the Rbrul model: neither Prosodic Position nor Word Finality emerge as significant predictors of fronting in this data set. This is a particularly interesting finding for London given that previous research on other speech communities has found significant positional differences for TH-fronting (e.g. Stuart-Smith & Timmins 2006; Clark & Trousdale 2009). In contrast to these studies, our data indicate that phonological environment does not exert influence on the application of fronting for the London teenagers in any significant way.

A second important point here is that word-frequency also plays no significant role in determining which words undergo fronting and which words do not. For example,

Table 4. *Rates of TH-fronting in monomorphemic and polymorphemic words for the London speakers*

Monomorphemic items			Polymorphemic items		
Word	[θ]	[f]	Word	[θ]	[f]
bath	4	1	anything	6	3
both	4	1	enthusiastic	2	—
Bournemouth	1	—	everything	4	11
Ethiopia	1	—	fourth	—	1
maths	3	11	months	—	2
month	2	—	nothing	5	4
Nathan	1	—	pathway	2	—
north	2	4	seventeenth	—	2
<i>Ruth</i> <sup>12</sup>	5	11	something	1	6
south	3	5	<i>Thanksgiving</i>	7	11
thaw	2	—	things	3	1
theatre	1	—	thinks	—	1
thing	2	2	third	—	2
think	22	10	thirteen	2	1
Thorpe	—	1	thirties	—	1
three	8	15	thirty	—	2
through	3	3	thought	—	3
youth	2	—	threw	—	3
			throughout	1	1

of all the monomorphemic words listed in table 4, *think* has the highest frequency value in the BNC; yet the fact that this item occurs as [θɪŋk] more than twice as often as it occurs as [fɪŋk] poses a major challenge to the hypothesis that sound change processes target high-frequency items before targeting low-frequency items (see, for example, Pierrehumbert 2002). Indeed, the fact that *think* clearly resists fronting more readily than low-frequency items like *Ruth* – which preferentially undergoes fronting even under the more formal reading condition – is particularly illuminating in this regard.<sup>13</sup> The implication of this finding for theorising about the grammatical and social diffusion of TH-fronting is discussed in section 4.1: and this result becomes increasingly relevant in consideration of the fact that the occurrence of TH-fronting

<sup>12</sup> *Ruth* and *Thanksgiving* occur exclusively in the read speech.

<sup>13</sup> An *ELL* reviewer suggests that the high number of *think* tokens in our corpus may be due to the use of *think* as a discourse marker, which in turn may explain the resistance to TH-fronting. Further inspection of the data reveals (i) that the majority of *think* tokens are in fact tokens of *I think*, and (ii) that the *I think* expressions – which could potentially be considered discourse markers – occur in relatively equal proportions with the fronted and the unfronted variant. Whilst we do not exclude the possibility of subtle differences in the conversational meanings of *I think*, which may very well interact with TH-fronting, we reserve this question for future research. As Kärkkäinen (2003) highlights, pursuing this hypothesis would require uncovering the varying interactional meanings of *I think* as well as their intonational particularities in our corpus. Moreover, we believe that the high frequency of *I think* may simply be due to the questions that were asked during the interview, which focused on personal experiences and the elicitation of evaluative comments on a broad range of topics.

Table 5. *Realisations of /θ/ for the Edinburgh speakers*

/θ/-realisation	Conversation		Reading		Total	
	N	%	N	%	N	%
[θ]	216	47.9	22	55	238	48.5
[f]	103	22.8	18	45	121	24.6
[ʔ]	60	13.3	—	—	60	12.2
[h]	48	10.6	—	—	48	9.8
[Ø]	24	5.3	—	—	24	4.9
Total	451		40		491	

for the Edinburgh speakers also cannot be predicted on the basis of word-frequency counts.<sup>14</sup> Thus, before discussing the diachronic spread and synchronic stability of TH-fronting, it is fitting first to examine the distribution of the non-standard realisations of /θ/ in the Edinburgh data.

### 3.2 *The variability of /θ/ in Edinburgh*

Table 5 summarises the realisational variation across the 21 Edinburgh speakers who participated in the recordings. Inspection of these data reveals two important trends.

Firstly, as with the London data, we observe that approximately 50 per cent of instances of /θ/ have a standard realisation in [θ]. However, note additionally that [f]-realisations occur with overall lower frequency for the Edinburgh speakers than for the London speakers: whereas 37.4 per cent of /θ/-tokens are realised as [f] in London (see table 2), we see fronting only in 24.6 per cent of cases in Edinburgh. Secondly, glottal realisations of /θ/ – i.e. [ʔ] and [h] – occur with much greater frequency for the Edinburgh speakers.<sup>15</sup> This finding therefore mirrors results reported on in Stuart-Smith & Timmins (2006) and Stuart-Smith *et al.* (2007) for Glasgow, in Robinson (2005) for Livingston, and in Clark & Trousdale (2009) for Fife. Thus, whereas realisational variation of <th> in London primarily entails an optional and variable neutralisation of the /θ/~/f/ contrast, determining the phonological status of the [θ] and [f]-variants is more complex for Scottish dialects of English in which glottal realisations occur with high frequency. In the interest of being able to make stronger comparisons between the two regional groups, we have chosen to exclude all glottal realisations of <th> from the statistical tests reported on section 3.2.1 below. Thus, we now turn to consider how the application of TH-fronting in Edinburgh is affected by the factor groups listed in table 1.

<sup>14</sup> As discussed in section 4, this result is important in view of the fact that Clark & Trousdale (2009) encounter significant frequency effects that do not arise in our data.

<sup>15</sup> Of the 321 tokens recorded for the London speakers, 2.8 per cent are realised as [ʔ] and 0.6 per cent are realised as [h] (see table 2).

Table 6. *Significant predictors for the fronting of /θ/ in Edinburgh with [f] as the application value*

Significant predictors	Weight	N	Proportion of [f]-realisations
Following Phonological Context:			
sonorant	0.721	75	0.721
obstruent	0.615	64	0.615
vowel	0.369	222	0.369
phrase boundary	0.293	13	0.293
PROSODIC POSITION:			
coda	0.648	113	0.584
onset	0.352	261	0.287
MORPHOLOGICAL COMPLEXITY:			
polymorphemic	0.616	157	0.401
monomorphemic	0.384	217	0.359
Deviance = 388.63, <i>df</i> = 7			
Standard deviation for SPEAKER = 1.306			
Not significant:	Speaker sex, style, grammatical category, word finality, syllable stress, preceding phonological context, syllable count, word frequency		

### 3.2.1 Constraints on TH-fronting in Edinburgh

TH-fronting data from the Edinburgh speakers were analysed using the same procedure as described above for the London speakers. Accordingly, although the exclusion of the [h,ʔ]-realisations from the statistical tests provides only a partial indication of the full scope of variation in <th>, the Rbrul model presented in table 6 nevertheless allows for important comparisons to be made about the use of TH-fronting and its grammatical conditioning in the two regional groups. This is particularly the case since, in the current data set, TH-fronting also occurs in words containing the *think* and *thing* lexemes (see table 7).

Of the 21 speakers who contributed to the recordings, only 2 fail to exhibit any sign of TH-fronting: data from these non-users were excluded from the statistical analyses in the same way as for the London speakers. From the Rbrul output in table 6, we see that the application of TH-fronting for the Edinburgh speakers is significantly affected by a greater number of factors than for the London speakers.

Firstly, notice that Morphological Complexity also emerges as a strongly significant constraint for the Edinburgh speakers. This result is critical because it provides further evidence that morphology has an influence on the application of fronting; however, closer examination of the data reveals that this effect is subtly different from the morphological effect that we have observed in the London data (see figure 2 below).

Recall that the morphological effect in London is that polymorphemic items significantly favour the application of TH-fronting: thus, /θ/ in morphologically complex words is more likely to be realised as [f] than [θ]. This is also the case in



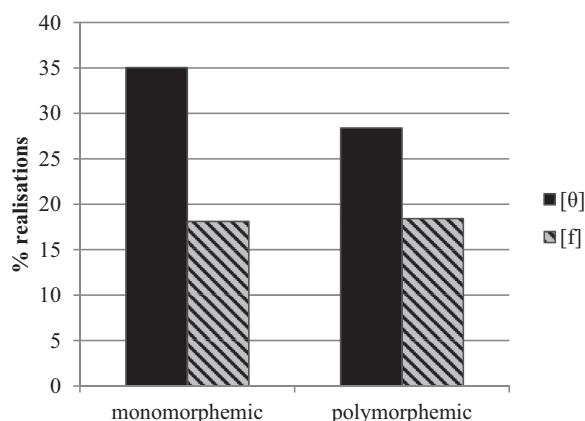


Figure 2. The effect of morphological complexity on the realisation of /θ/ in the Edinburgh data

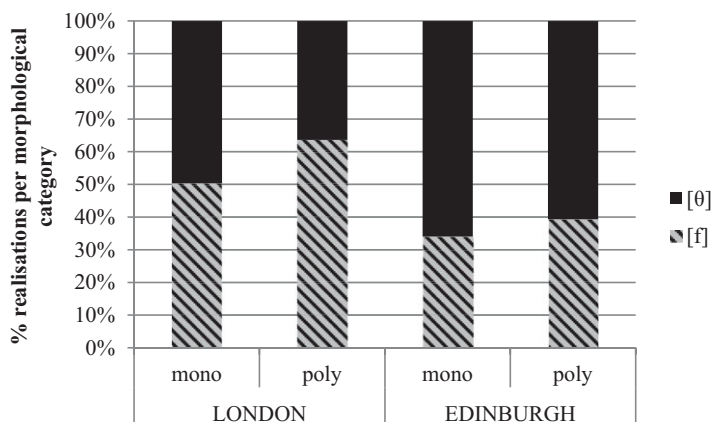


Figure 3. Relative frequency of fronting in monomorphemic and polymorphemic items:  
London vs Edinburgh

Edinburgh, although the proportional differences are less pronounced than in London. However, the most notable difference between London and Edinburgh lies in the monomorphemic words. In London, [f] and [θ] occur with roughly equal frequency, whereas in Edinburgh [θ] is clearly favoured in monomorphemic words (see figure 3).

Figure 3 confirms that, despite the fact that there are significantly higher amounts of fronting in polymorphemic words than in monomorphemic words in both regional groups, polymorphemic TH-fronting is the dominant pattern in London. By contrast, in Edinburgh [θ]-realisations occur with overall greater frequency than [f]-realisations in both morphological categories (although some word-specific exceptions to this pattern can be noted – see table 7).

A number of important generalisations can be extracted from consideration of the regional differences illustrated by figure 3 and the word list in table 7. Firstly, it

Table 7. *Rates of TH-fronting in monomorphemic and polymorphemic words for the Edinburgh speakers*

Monomorphemic items			Polymorphemic items		
Word	[θ]	[f]	Word	[θ]	[f]
arthritis	1	—	anything	7	4
athlete	—	1	birthday	1	1
both	3	3	eleventh	—	1
Forth	1	—	everything	4	1
goth	2	—	fifteenth	1	1
Gweneth	1	—	fifth	—	2
health	1	—	fourth	3	15
Leith	3	—	goths	1	—
mathematics	4	—	months	—	1
maths	4	15	nothing	10	—
month	1	2	seventeenth	—	1
Polwarth	—	1	something	10	7
<i>Ruth</i>	7	11	teeth	2	—
south	1	—	tenth	—	1
sympathy	1	—	thanks	1	—
thank	2	—	<i>Thanksgiving</i>	11	7
theatre	—	1	things	9	2
theory	3	—	thingwy	4	—
thick	1	—	thinking	3	—
thin	1	—	thinks	—	3
thing	11	5	third	6	4
think	48	1	thirteen	5	—
thousand	2	3	thirty	4	—
threat	2	—	thought	8	7
three	6	15	threes	—	1
throat	1	—	threw	3	—
through	4	1	Thursdays	1	1
throw	1	1			
youth	4	—			

must be noted that our data do not provide evidence that specific suffixes produce certain patterns of fronting or non-fronting (as predicted, for example, by Lexical Phonology: see McMahon 1991, 2000: 170ff. on the Scottish Vowel Length Rule). At this stage, we are limited by the data to claiming that we are dealing with a monomorphemic~polymorphemic effect: further research is needed to address the question as to what extent specific morphological operations (e.g. compounding; derivational vs inflectional affixation) and specific morphological material affect the use of TH-fronting.

In addition to the finding that polymorphemicity favours the application of TH-fronting in both cities, the data listed above show another important effect: some words

display behaviour that is not predicted by usage-based models of variation that assume a strong correlation between word frequency and the use of an innovative phonological variant. If indeed sound change processes target high-frequency items before targeting low-frequency items – as, for example, articulated by Pierrehumbert (2002) – we would expect Word Frequency to emerge as a strongly significant predictor of the use of TH-fronting in both regional groups. But it does not. On the contrary, our findings agree with Labov (2006) and Dinkin (2008) who demonstrate convincingly that not all sound changes should be expected to exhibit frequency effects. Dinkin (2008) asserts that frequency effects may be first and foremost associated with reductive processes; and in fact, Bybee (2002) seems to suggest this herself in places. However, TH-fronting is not a reductive sound change. It is a replacement of one phoneme with another; and if indeed word frequency effects are more common in reductive sound changes, finding such effects in TH-fronting would be surprising.

Moreover, the Rbrul model presented in table 6 indicates that two phonological factors contribute significantly to the realisational variability of /θ/ in Edinburgh. Specifically, Prosodic Position and Following Phonological Context emerge as significant predictors of [θ]~[f] variation: /θ/ in preconsonantal coda positions displays a far greater likelihood to undergo fronting than /θ/ in syllable-initial contexts or in prevocalic and prepausal contexts. This finding is particularly interesting considering that TH-fronting is a variable, but nevertheless categorical, neutralisation process: indeed, as much phonological theoretical work has shown, neutralisation phenomena often preferentially target segments in coda positions, both preconsonantly and non-preconsonantly (Kiparsky 2008: §4ff.; Yu 2011). Accordingly, it is perhaps unsurprising that we observe clear onset~coda asymmetries in the Edinburgh data; yet what is less expected is that we do not find a similar effect in the London data. The implications of this difference merit further discussion since they bear upon the question of how innovative phonological features diffuse throughout the lexicon over time. These issues are addressed in section 4.1: we first turn to consider a final empirical result of central importance for understanding the sociolinguistic situation affecting TH-fronting, namely the influence of gender.

### 3.3 Gender differences in /θ/-fronting

As discussed above, non-variable speakers were excluded in the regression analyses as it would be unwise to include them when constructing a model of factors that influence trends of *variation*. As a consequence of their exclusion, one important finding does not show in the constraint hierarchies, namely that many non-users are female: and the difference between male users and female non-users is particularly remarkable in London.

Paired chi-square tests were conducted to test for a gender effect when these speakers are included. There is a statistically significant gender difference in the use of [f] versus [θ] in London ( $\chi^2(1, N = 290) = 19.066, p < .0001$ ), but not in Edinburgh

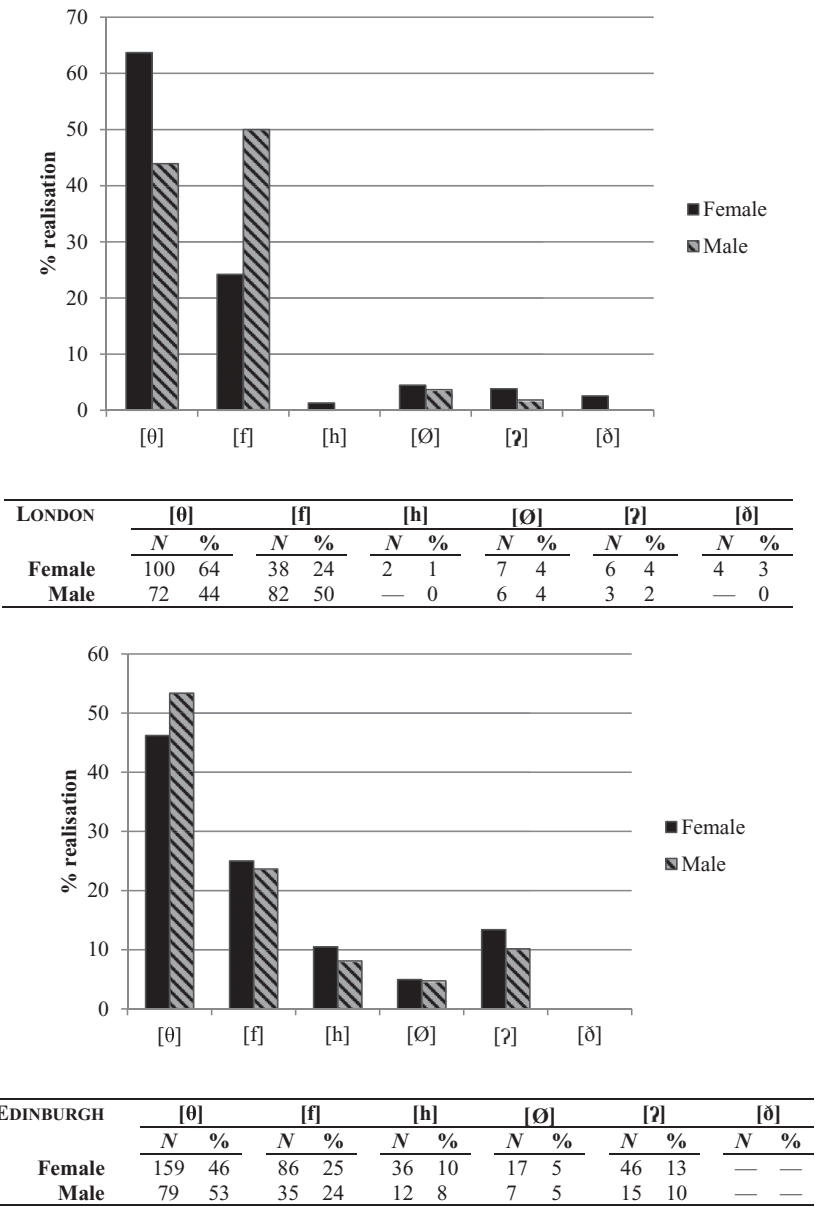


Figure 4. Realisation of /θ/ by speaker sex in London (upper panel) and Edinburgh (lower panel)

( $\chi^2$  (1,  $N$  = 359) = .674,  $p$  = .411). We also tested for various other differences in the phonetic realisations in Edinburgh as there are more [h], [Ø] and [ʔ] tokens than in London: none returned significant results. Figure 4 summarises the gender results, showing clearly how gender plays a major role in London, but not in Edinburgh.

Considering the robust finding that women play an important role in the diffusion of language change (e.g. Holmes 1997; Labov 1990), searching for patterns linking gender and language change is important as it could yield insights about the interaction of linguistic and social processes. In particular, exploring the role of gender in a variety of communities is crucial as it puts linguists in a better position to make generalisations about sociolinguistic effects in the implementation of language change. In view of this, how then can we best explain the findings made in this study and contribute to generalisations on language change? We take up this point again in section 4.2 after first discussing the implications of linguistic effects.

## 4 Discussion

### 4.1 *Generalisation of TH-fronting*

To recap, our results indicate that there are important differences in the application of TH-fronting in London and Edinburgh. Whereas polymorphemic contexts favour the use of the [f]-variant in both regions, the Edinburgh speakers use fronting with significantly greater frequency in preconsonantal codas than in other phonological contexts. In the following discussion, we explore the possibility that this result could be accounted for by theoretical models of sound change which predict that neutralisations will occur more frequently in phonological environments that are perceptually disadvantaged (Blevins 2004; Kiparsky 2008; Steriade 2001). Under the view that TH-fronting is a sound change in progress, we argue that the lack of positional asymmetries in the London data is indicative of an increasing integration of the fronting process with higher-level grammatical structure. This hypothesis, in turn, has implications for the cross-regional adaptation of linguistic innovations and the lexical diffusion of new phonological variants.

#### 4.1.1 *The perceptual origins of /θ/~/f/ neutralisation*

Whereas some studies argue that TH-fronting occurs because of the marked nature of /θ/ cross-linguistically (e.g. L. Milroy 2007: 162), other studies on sound change – and in particular, on the diachronic evolution of phonological mergers – highlight the crucial role that speech perception plays. For example, Ohala (1993a, b) has argued at length that difficulties encountered by the listener in correctly identifying a given phonological target from the speech signal in perceptually disadvantaged environments are an important source of sound change (see also M. Ohala & Ohala 2001); and in this vein, Blevins (2004) emphasises the fact that phonological systems are shaped diachronically by misperception effects which give rise to new allophonic processes and neutralisations. Likewise, Steriade (2001, 2009) demonstrates that many languages neutralise phonological contrasts synchronically in contexts where the perceptibility of crucial phonetic cues (e.g. place-of-articulation, voicing etc.) is inhibited or eclipsed by other, more salient acoustic information.

With regard to the current data, these studies provide important insights into the contextual asymmetries that we observe in the application of TH-fronting in Edinburgh. Specifically, an intriguing hypothesis is that the apparent readiness of /θ/ to undergo fronting in preconsonantal codas – and the resistance of prevocalic /θ/ to participate in fronting – may reflect the fact that /θ/~/f/ neutralisation has a perceptual origin. Phonetic studies on the perceptibility of the /θ/~/f/ contrast are not abundant; however, the previous research which has been carried out consistently concludes that [θ] and [f] share acoustic properties which, under certain phonetic conditions, render them perceptually confusable (see Fernández *et al.* 1998; Flynn & Fulop 2012; Jongman *et al.* 2000).

Whilst these studies show that [θ] and [f] are probably always confusable, to some extent, in any language system in which they occur contrastively, we do not take this as evidence for the *independent* emergence of TH-fronting in Edinburgh. On the contrary, the claim that TH-fronting is an imported feature originating in a set of youth norms in the southeast of England (Williams & Kerswill 1999; Foulkes & Docherty 2001; Milroy & Gordon 2003) is something that we do not seek to challenge; and neither do we contest Milroy's claim (1997: 162) that this salient youth-related pattern of neutralisation to [f] produces a strong bias *against* the development of a neutralisation process whose output is [θ]. However, what is most revealing about the prosodic and phonotactic asymmetries in the application of TH-fronting in Edinburgh is that once [f] as a possible variant of /θ/ diffuses to and within Edinburgh, the fronting process preferentially applies in contexts where place-of-articulation cues are difficult to extract accurately from the continuous speech stream. This finding, in our view, provides good grounds for speculating about how the fronting process may have originally emerged in London from contextual misperception effects; and pursuing this hypothesis, in turn, yields insights for the question of why Morphological Complexity is the only significant predictor of [θ]~/[f] variability in our London data. In this connection, then, consider figure 5.

Figure 5 schematises a trajectory of sound change that, we hypothesise, can explain the contextual and interregional differences in the use of TH-fronting in our corpus. We assume, firstly, that preconsonantal position is the context in which accurate identification of consonantal place-of-articulation cues is most disfavoured. Secondly, new neutralisations spread to perceptually more favourable environments only after they come to apply in less favourable environments. Thus, in line with classic cases of lexical diffusion, TH-fronting constitutes a sound change that is phonetically abrupt and lexically gradual (Labov 1994: 542).

This model is motivated by the generalisation that the [θ]~/[f] contrast is more likely to be accurately perceived in environments where these transitional cues to place-of-articulation are most acoustically salient. Recall that Blevins (2004: 135) notes that the crucial acoustic cues that listeners use to discriminate [θ] and [f] are the transitional formant contours *preceding* and *following* the fricative closure; and likewise, Steriade (2001: 237) notes that accurate discrimination of speech sounds that are acoustically very similar depends heavily on contextual factors.<sup>16</sup>

<sup>16</sup> Steriade (2001) illustrates this with a set of laminal~apical contrasts (i.e. [s]~/[ʃ], [t]~/[tʃ], [n]~/[ɲ]). Given the acoustic similarity between [θ] and [f], and their 'articulatory proximity' in terms of the location of the primary

		<i>Perceptibility of place contrasts</i>							
		a. Preconsonantal position		<	b. Prepausal position		<	c. Prevocalic position	
<i>Diachronic generalisation of TH-fronting</i>	↓	$\text{[-}\theta_{\sigma}\text{]}\text{[_oC-]}$	$\text{[-f}_{\sigma}\text{]}\text{[_oC-]}$		$\text{[-}\theta_{\sigma}\text{]}\text{##}$	$\text{[-f}_{\sigma}\text{]}\text{##}$		$\text{[-}\text{[_o}\theta\text{-]}$	$\text{[-}\text{[_o}f\text{-]}$
		↓	↓		↓	↓		↓	↓
		[θC]	[fC]		[θ]	[f]		[θ]	[f]
	↘	$\text{[-}\theta_{\sigma}\text{]}\text{[_oC-]}$	$\text{[-f}_{\sigma}\text{]}\text{[_oC-]}$		$\text{[-}\theta_{\sigma}\text{]}\text{##}$	$\text{[-f}_{\sigma}\text{]}\text{##}$		$\text{[-}\text{[_o}\theta\text{-]}$	$\text{[-}\text{[_o}f\text{-]}$
	✓ ↘	↓	↓		↓	↓		↓	↓
		{[θC], [fC]}	[fC]		[θ]	[f]		[θ]	[f]
	↘	$\text{[-}\theta_{\sigma}\text{]}\text{[_oC-]}$	$\text{[-f}_{\sigma}\text{]}\text{[_oC-]}$		$\text{[-}\theta_{\sigma}\text{]}\text{##}$	$\text{[-f}_{\sigma}\text{]}\text{##}$		$\text{[-}\text{[_o}\theta\text{-]}$	$\text{[-}\text{[_o}f\text{-]}$
	✓ ↘	↓	↓		↓	↓		↓	↓
		{[θC], [fC]}	[fC]		{[θ], [f]}	[f]		[θ]	[f]
	↘	$\text{[-}\theta_{\sigma}\text{]}\text{[_oC-]}$	$\text{[-f}_{\sigma}\text{]}\text{[_oC-]}$		$\text{[-}\theta_{\sigma}\text{]}\text{##}$	$\text{[-f}_{\sigma}\text{]}\text{##}$		$\text{[-}\text{[_o}\theta\text{-]}$	$\text{[-}\text{[_o}f\text{-]}$
	✓ ↘	↓	↓		↓	↓		↓	↓
		{[θC], [fC]}	[fC]		{[θ], [f]}	[f]		{[θ], [f]}	[f]

Figure 5. Emergence and diachronic generalisation of variable TH-fronting

Thus, taking on board the claim that misperception fuels sound change, the pathway of change illustrated in figure 5 indicates that variable neutralisation of the /θ/~/f/ contrast most probably first emerged in London speech in the environment where perceptual cues to this contrast are most difficult to discriminate: namely, in preconsonantal coda position. From there, TH-fronting generalises to other environments, targeting other perceptually disadvantaged contexts before favourable ones (see Vennemann 1972: §3 for discussion of other examples of rule generalisation of this type). As already noted, this, in our view, is the principal cause underlying the coda~onset asymmetries that we observe in Edinburgh: once TH-fronting diffuses to a new speech community, the perceptual grounding of the original innovation plays an important role in the lexical diffusion process. Specifically, phrase-final /θ/ and prevocalic /θ/ lag behind preconsonantal /θ/ with regard to the lexical diffusion of fronting precisely because the acoustic cues to the [θ]~[f] contrast are more easily discriminated in these contexts.

occlusion target (dental vs labiodental), the likelihood of a language like English developing a phonological process that neutralises /θ/ and /f/ – albeit in a variable, optional fashion – is in fact highly consistent with the central claims of the *P-map* model.

Nevertheless, it must also be highlighted that our Edinburgh data do not provide evidence that fronting applies more frequently prepausally than prevocally. Such an asymmetry is predicted by the model in figure 5 on the basis of the fact that, in prepausal contexts, listeners may rely on transitional cues at the point of closure for determining fricative place-of-articulation, but the lack of a following vowel means that the release cues are not available for this purpose. Thus, intervocalic position, in which transitional cues both at the point of closure and at the point of release are available to the listener, is predicted to be the environment most amenable to correct identification – and, thus, to non-neutralisation – of the  $[\theta] \sim [f]$  contrast. Yet, as indicated by the proportion values in table 6, we in fact observe less fronting prepausally than prevocally for our Edinburgh speakers. However, the failure of prepausal  $/\theta/$  and prevocalic  $/\theta/$  to display a significant difference in the rate of application of fronting in the expected direction (i.e. more fronting prepausally, less fronting prevocally) may well be attributable to the fact that our data come primarily from unscripted speech: as shown in table 6, our Edinburgh data set contains only 13 tokens of prepausal  $/\theta/$  compared to 222 tokens of prevocalic  $/\theta/$ . Thus, we are restricted by our current data to claiming that, in dialects of English that have acquired the pattern only recently, TH-fronting may apply more frequently in phrase-final contexts than in intervocalic contexts (see Steriade 2001: 237). Further research will nevertheless be necessary before we can confirm whether the trajectories of lexical diffusion of TH-fronting that we predict in figure 5 on the basis of the contextual perceptibility of acoustic cues are indeed reflected in synchronic patterns of use amongst young Edinburgh speakers. In spite of this, the crucial finding is that the perceptual model of sound change does explain why TH-fronting applies so much more frequently preconsonantly than prepausally and prevocally in our Edinburgh data.

Despite the fact that the misperception effects in different phonological contexts provide an insight into why TH-fronting is so prevalent preconsonantly in Edinburgh, the fact that the use of fronting in London is not predictable on the basis of any prosodic or phonotactic contextual factors is puzzling. Rather than constituting evidence against the gradual generalisation of fronting into different phonological environments, we take the view that the lack of positional asymmetries for the London speakers is explicable in consideration of the evidence that TH-fronting is a more established process in London. Our motivation for this claim is discussed briefly in the following sections.

#### 4.1.2 *Towards morphologisation?*

The finding that the rate of application of TH-fronting is not significantly affected by phonological contextual factors for the London speakers might be viewed as unexpected, especially in view of the positional asymmetries that we have observed in the Edinburgh data. Nevertheless, here, we shall argue that failure of prosodic or phonotactic position to significantly influence the use of the  $[f]$ -variant in London is consistent with the view that TH-fronting emerged earlier in London dialects, and that it is more synchronically stabilised as a productive phonological process than in Edinburgh.



Firstly, our results clearly indicate that the variable neutralisation of /θ/ and /f/ in London is now in the final stage of the diachronic trajectory shown in figure 5. Specifically, although the process is not yet *fully* stabilised, it is sufficiently advanced that it now exhibits equal rates of application across phonological contexts (a)–(c). The loss of the phonological contextual conditioning of the process in London therefore leaves us with only the morphological differences as a significant predictor of the use of the [f]-variant. One possible explanation for this result is that TH-fronting may already be on its way to becoming morphologised in the speech of young adolescents in London who, quite conceivably, have been exposed to the pattern after generations of use.<sup>17</sup> To reiterate, we have no evidence as yet that specific morphological operations are conducive to the application of fronting: the hypothesis that the fronting process is becoming gradually more dependent on morphological structure for the London teenagers is therefore a tentative one. Accordingly, a priority for future research on this phenomenon is to examine to what extent different morphological material either increases or decreases the probability of occurrence of TH-fronting. Furthermore, if our hypotheses in this regard are correct, the current data provide very interesting evidence for how new dialect features may diffuse throughout the lexicon independently of word frequency. For example, in the absence of a word-frequency effect, is it possible that the readiness of polymorphemic items to undergo fronting in some way reflects compositional frequency – i.e. a combined frequency effect of the base and affixal material? This remains an open question for the present; however, in our opinion, these findings provide a critical motivation for future studies on pathways of phonological change and lexical diffusion to pay due attention to grammatical factors in addition to word-frequency and social factors.

Nevertheless, it also must be stressed that the diffusion pattern that we observe here cannot immediately be extended beyond the speech habits of our west London adolescents. The evidence for the perceptual origins of TH-fronting do give us confidence that our arguments are correct in respect to London; yet whether speakers in Edinburgh will eventually follow this same path is a completely different matter. A somewhat different scenario is certainly conceivable: and in particular, although all [h,ʔ]-realisations were excluded from the statistical model in section 3.2.1, we suspect that the high frequency with which these realisations occur in our corpus will produce significant effects on the ongoing lexical diffusion of TH-fronting in Scottish dialects in which both patterns are robustly attested. It is certainly possible, if not likely, that an incoming change such as TH-fronting interacts with existing phonological patterns and may thus result in a different outcome for Edinburgh than for London. Maguire *et al.* (2010: 77) suggest this, and Stuart-Smith & Timmins (2006: 178ff.) argue this point very strongly. Yet since [h] occurs almost exclusively in words of the *think/thing* group, the relative contribution of these realisations to the limited diffusion of the [f]-variant is hard to disentangle from the perceptual factors which – at least in our view – are crucial for understanding the contextual asymmetries. The development of

<sup>17</sup> Recall that Sivertsen (1960) reports the use of TH-fronting amongst speakers born before 1900.

TH-fronting in Edinburgh, thus, is intimately dependent both upon perceptual factors and upon words like *think* and *thing* which introduce complications, both from the perspective of phonological analysis and from the point of view of a child acquiring these varieties of English. This is very fertile ground for additional work on these sound changes: future research will certainly provide further insights into the way perceptually driven neutralisations interact with other dialect-specific sound patterns, such as the use of glottal realisations of <th> in Scottish English.

Finally, we turn to a brief discussion of our gender findings as, crucially, they confirm and provide independent evidence for our claim that the diachronic trajectory of a sound change can also considerably influence sociolinguistic constraints.

#### 4.2 *Gender and language change*

In assessing the role that gender may play in determining trends of variation, numerous factors can be brought into consideration: e.g. the status of a variable as stable or changing above or below the level of consciousness (Labov 1990); the status of a variable as local or supralocal (Holmes 1997; J. Milroy *et al.* 1994); and the relative age of a change, as already indicated, may also play a critical role (Cameron 2005; Eckert 1989; Labov 1990). However, neither Labov's principles 1, 1a and 2, nor the concept of *locality* seem to be fully applicable to our results. We will therefore focus only on a brief discussion of the role of gender in the diachronic evolution of TH-fronting here.

Cameron (2005: 25) hypothesises that quantitative differences between the sexes will be greater when males and females are separated to a larger extent: e.g. in their pre-teens and past working age. Differences are often also high during adolescence when there is more of what he calls 'borderwork', i.e. 'interaction based on and even strengthening – gender boundaries' (Thorne, quoted in Cameron 2005: 41). Cameron points out that not all variables are equally suited to the expression of gender and that, crucially, the potential for a variable to express gender may evolve over the life of a sound change. He proposes (cautiously) that his gender segregation hypothesis only seems to apply to stable variables and to mid-range and nearly completed changes (i.e. not to new changes). Specifically, he remarks that 'for mid-range changes, the first half of life is expected to show evidence of the effect of gender segregation. For nearly completed changes, the effect is seen in the second half of life' (Cameron 2005: 52).

Furthermore, Eckert (1989) shows in her study of the Northern Cities Chain shift in Detroit that the newer variables of (e) and (uh) show no significant gender constraints, whereas mid-range and older variables like (oh), (a), and (æ) do show a significant gender difference. By contrast, Labov's discussion of data from Philadelphia highlights that it is women who lead new sound changes, such that gender differences tend to disappear in older, more established changes. Yet this observation, in principle, does not contradict Cameron's (2005) view: indeed, Labov (2010: 255) specifies that the gender differences disappear *as changes near an endpoint*. This also accords with findings for T-glottalling for the same two groups of speakers whose TH-data we report on here:

word-final T-glottalling, which shows application values of 92 per cent in Edinburgh and 86 per cent in London (Schleef in press), is not significantly affected by gender differences. Clearly, therefore, part of the problem here is the exact meaning of *new*, *mid-range* and *old* with regard to sound change processes: for how long can a new sound change rightfully be considered *new*?

The fact that TH-fronting is a relatively new change in Edinburgh, but a much older change in London, is very clear. If we consider fronting rates as an indicator of the relative age of the sound change (i.e. a total of 24% in Edinburgh vs a total 37% in London – see the application values given in tables 2 and 5), TH-fronting can certainly be classified as relatively new for Edinburgh. By contrast, it is better considered as a mid-range change in London. Thus, our data may provide support for the findings of Cameron (2005) and Eckert (1989), specifically, with regard to the claim that new changes do not show gender differences whilst mid-range (and older) changes do.<sup>18</sup>

This, however, leaves us with the question of why there would be a link between gender and TH-fronting in the first place, and why there are no such differences in new changes. Here, it is fitting to point out that there is a very strong link between TH-fronting and lower social classes: this has been convincingly demonstrated for both England and Scotland (e.g. Kerswill 2003; J. Milroy 1996, Stuart-Smith & Timmins 2006; Stuart-Smith *et al.* 2007). Trudgill (1972) advances the claim that use of TH-fronting presents a perfect opportunity for males to express masculinity. More recent approaches to gender suggest that gender is normally indexed indirectly (Ochs 1992), thus, the roughness and toughness associated with the working classes can indirectly index other social concepts such as masculinity. Following this line of argumentation, new changes like TH-fronting in Edinburgh do not show any gender differences because their potential for gendering practice is only just establishing itself.<sup>19</sup> In London, on the other hand, the associations of the (very local) TH-fronting phenomenon with the working classes are uncontested: fronting thus represents an ideal feature for the indirect indexing of masculinity.

In sum, here too we take the view that the gender differences in the use of fronting that we observe are a predictable result considering that TH-fronting is a more established dialect feature in London than in Edinburgh.

<sup>18</sup> This, of course, is based on the assumption that the variable is still changing in London. Whilst Cheshire *et al.* (2008) seem to suggest this, Tollfree (1999: 172) indicates that TH-fronting is no longer a change in progress in her data from south-east London. However, male-dominated changes have been shown to be slower than female-dominated changes (Labov 2010: 254), so rather than TH-fronting being stable, it may simply be slow amongst some speakers. In either case, we would expect more pronounced gender differences in London than in Edinburgh, which we do indeed find.

<sup>19</sup> Although Stuart-Smith & Timmins (2006) found age differences, they did not, however, find any gender differences, nor did Clark & Trousdale (2009). Stuart-Smith *et al.* (2007: 236), on the other hand, found working-class young females using more TH-fronting than working-class young males in their conversational, but not their word-list data. Robinson (2005) also finds significant gender results in Livingston: here, however, it is the males who use more TH-fronting in conversational style.

## 5 Conclusions

In conclusion, our examination of the progressive lexical and grammatical diffusion of TH-fronting amongst adolescents in London and Edinburgh has revealed that the application of TH-fronting is constrained in Edinburgh in ways that are not relevant for London. Specifically, whereas TH-fronting is sensitive to phonotactic, prosodic and morphological factors in Edinburgh, only morphological complexity emerges as a significant predictor of TH-fronting in London. We also find evidence of significant gender differences in the use of fronting in London, whereas such differences do not arise in our Edinburgh data.

In considering the diachronic implementation of sound change, we have argued that these results obtain because of the more established nature of TH-fronting in London, as compared to Edinburgh. Furthermore, whilst the pattern we have observed is clearly one of gradual diffusion, word frequency is irrelevant in our data.<sup>20</sup> We are therefore a long way from being able to state that all phonological change is explicable on the basis of word-frequency effects, either locally or cross-regionally. However, just as social and linguistic constraints differ during the evolution of a sound change, so too may the role of word frequency (Labov 2006).

These are tantalising findings, but more research, especially across different generations, will be necessary before a full understanding of them can be reached. Many questions remain unanswered: for example, are we on the way to a morphologically conditioned [θ]~[f] alternation in London? What exactly is the role of word frequency in phonological processes that are clearly categorical and non-reductive in nature (see Clark & Trousdale 2009; Dinkin 2008)? Our findings very clearly place the onus on researchers studying diffusion and phonological variation not to limit themselves to looking at word frequency and social factors alone: prosodic, phonotactic and grammatical factors – particularly morphological factors – are crucial and may well reveal important findings.

Finally, considering the various linguistic and non-linguistic forces which may influence its ongoing evolution, attempting to predict the social future of TH-fronting is an extremely tall order, if not wholly futile. If Holmes (1997) and Milroy *et al.* (1994) are correct, an adoption and endorsement by (middle-class) female speakers is essential for the success of an innovation. Thus, it will be necessary for this phenomenon to experience a change in evaluation similar to that already experienced by word-final T-glottalling; whether such a change in evaluation may be in store for TH-fronting or not remains an open question. However, it is certainly clear that attitudes, gender identities and personal aspirations will be equally important factors alongside linguistic factors in the diffusion of TH-fronting. As we have shown here, the relative importance of these factors may differ from location to location in critical ways. It is this that

<sup>20</sup> Recall, however, that [h,ʔ]-realisations were excluded from our statistical models. We therefore acknowledge that future work may well uncover frequency effects – which may also arise from morphological factors, and not just from differences between whole words – specifically if the contextual differences between [θ], [f] and [h,ʔ]-usage are investigated in parallel.

makes the comparative variationist approach – which pays responsible consideration to both linguistic and non-linguistic influences on the implementation of sound change – indispensable for understanding patterns of dialectal variation and change.

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